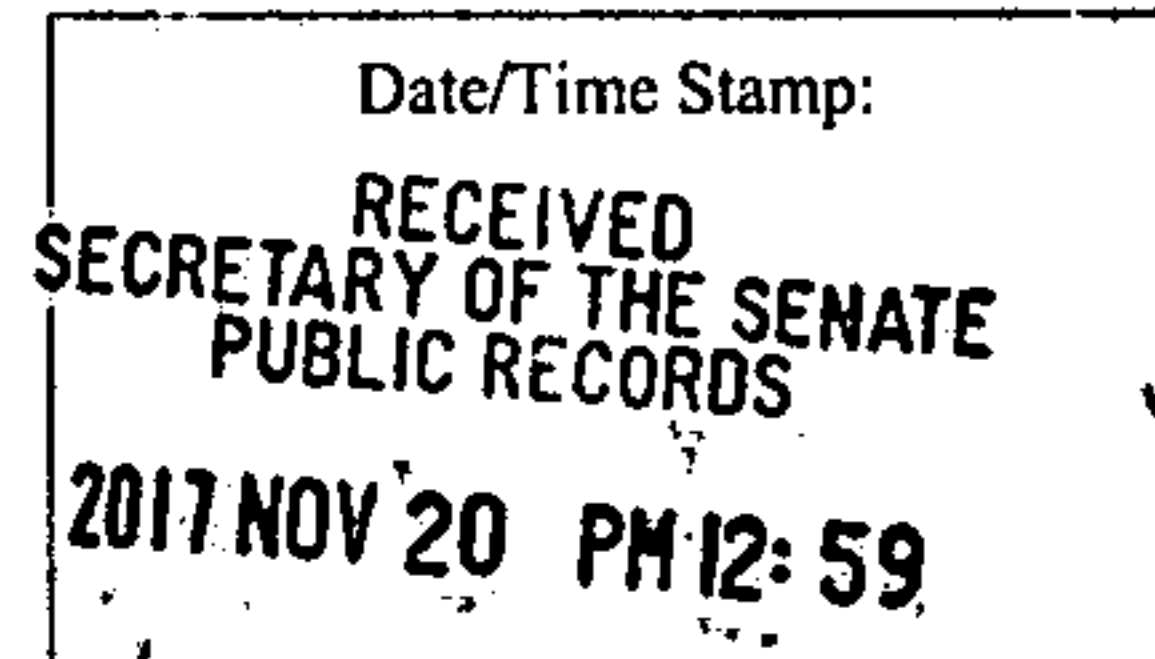


Employee Post-Travel Disclosure of Travel Expenses

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**



In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The original *Employee Pre-Travel Authorization* (Form RE-1), **AND**
☐ A copy of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): The Aspen Institute (Socrates Program)

Travel date(s): October 27-29, 2017

Name of accompanying family member (if any): _____

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount	0.00	\$234.00	\$172.50	\$183.44 conference room fees (includes meeting facilities, set up/take down, cleaning), reading copyrights, and moderator fee.

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.):

See attached agenda

11/20/17
(Date)

William Sweeney
(Printed name of traveler)

William Sweeney
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

11/20/17
(Date)

Debbie Petersen
(Signature of Supervising Senator/Officer)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

2017 NOV 20 PM 12:59

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

William Sweeney

Name of Traveler: _____

Employing Office/Committee: Democratic Policy & Communications Committee

Private Sponsor(s) (list all): The Aspen Institute

Travel date(s): October 27-29, 2017 (M)

Note: If you plan to extend the trip for any reason you must notify the Committee.

Destination(s): Queenstown, MD

Explain how this trip is specifically connected to the traveler's official or representational duties:

As Staff Director of the Democratic Policy and Communications Committee, I am called on to advise Senator Stabenow (our Chairwoman) about how we can work together across the aisle to pass legislation important to the American people. This conference will explore ways we can increase bipartisanship and civility in the U.S. Senate.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

Sept 26, 2017
(Date)

William Sweeney
(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator Debbie Stabenow hereby authorize William Sweeney
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

9/26/17
(Date)

Debbie Stabenow
(Signature of Supervising Senator/Officer)

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Private Sponsor Certification - Page 1 of 4

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Aspen Institute's Socrates Program is the sole sponsor of this seminar and is solely responsible for the development and execution of this convening. (see continued response)

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Aspen Institute is an educational and policy studies non-partisan non-profit organization whose mission is to foster leadership based on enduring values and provide a non-partisan forum for the exchange of ideas. (see continued response)

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

The Aspen Institute has a nearly forty year history of conducting non-partisan educational forums, which often include Members of Congress and Congressional staff. The Socrates Program has been conducting seminars – Senate Socrates – for eight years.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The Aspen Institute holds numerous educational activities, including educational briefings, roundtables, forums, and conferences for a diverse range of attendees including federal and state policymakers, business and organization leaders, members of the press and the general public. (see continued response)

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$63.45 for mileage	\$234.00 for two nights	\$172.50	\$183.44 conference room fees (includes meeting facilities, set up/take down, cleaning), reading copyrights, and moderator fee.

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

B. The trip is organized specifically with regard to Congressional staff participation in order to support their leadership and professional development

18. Reason for selecting the location of the event or trip

The location is close to Washington, DC for easy staffer and moderator travel.

19. Name and location of hotel or other lodging facility:

Wye River Conference Center, 600 Aspen Drive, Queenstown, MD 21658.

20. Reason(s) for selecting hotel or other lodging facility:

The conference center has sufficient meeting space and lodging to support off-the-record, non-partisan exchanges of ideas and professional learning. The Aspen Institute owns the Wye River Conference Center property.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Rooms are \$117/day, which reflects the per diem limit.

Meals are \$69.00/day, which reflects the per diem limit.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Transportation is not provided; mileage will be reimbursed for participants' travel by personal car

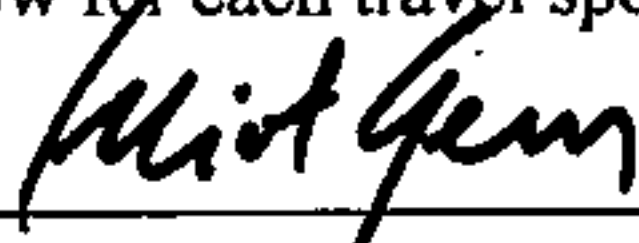
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor:



Name and Title: Elliot Gerson, Executive Vice President, Policy & Public Programs

Name of Organization: The Aspen Institute

Address: 1 Dupont Circle NW, Suite 700, Washington, DC 20036

Telephone Number: 202-736-5859

Fax Number: 202-467-0790

E-mail Address: lisa.jones@aspeninstitute.org

**Appendix to Private Sponsor Travel Certification Form, Aspen
Institute Socrates Program for Senate Socrates, October 27-29, 2017**

Question 12. Briefly describe the role of each sponsor in organizing and conducting the trip (response continued) – The Aspen Institute received general funding from the Rodel Foundations to support the Socrates Program. The funding was not earmarked for this trip. The Rodel Foundations did not play a role in organizing or planning the conference, including, but not limited to the content, agenda, moderators, attendees or other logistics.

Questions 13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to the mission (response continued) – The Socrates Program seeks to provide a forum for emerging leaders from various professions to convene and explore contemporary issues through expert-moderated dialogue. The purpose of the trip is to conduct a non-partisan educational seminar on political civility and the role of Congress that encourages off-the-record, candid exchanges of ideas to support staffers' learning and development.

Question 15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips) (response continued) – The Socrates Program convenes 18 expertly moderate seminars every year bringing together a diverse group of professionals to explore issues, discuss current topics and encourage dialogue and exchanges of ideas.

11/26/2017 11:26:11 AM



#5. Name and titles of Senate invitees:

Christian Brose
Staff Director
Committee on Armed Services

Mike Casey
Minority Staff Director
Select Committee on Intelligence

Jeyben Castro
Outreach Director
Republican Task Force on Hispanic Affairs

Jennifer Decasper
Chief of Staff
Office of Senator Tim Scott

Elizabeth Falcone
Legislative Director
Office of Senator Mark Warner

Laura Erickson Hatalsky
Legislative Director
Office of Senator Catherine Cortez Masto

Thomas Mancinelli
Foreign Policy Advisor
Office of Senator Chris Coons

Olivia Perez-Cubas
Press Secretary
Office of Senator Marco Rubio

Monica Popp
Chief of Staff
Office of Senate Republican Whip John Cornyn

Nicole Porreca
Senior Foreign Policy Advisor
Office of Senator Tim Kaine

Hallie Soifer
Legislative Assistant
Office of Senator Kamala Harris

Dana Stroul
Professional Staff Member
Committee on Foreign Relations

Bill Sweeney
Staff Director
Democratic Policy and Communications
Committee

Natalia Riggan
Legislative Assistant
Office of Senator Mike Enzi

Erica Soares
Policy Advisor
Office of Majority Leader, Senator Mitch
McConnell

Matthew Williams
Legislative Assistant
Office of Senator Bill Nelson

Brandon Yoder
Senior Professional Staff Member
Committee on Foreign Relations

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2017 Senate Socrates
Political Civility and the Role of Congress
Moderators: Dan Glickman and Bill Hoagland

Seminar Weekend Schedule

October 27-29, 2017

Aspen Institute Wye River Campus
600 Aspen Drive
Queenstown, Maryland
Seminar Location: River House (RH)

Friday, October 27

7:00 p.m. - 8:00 p.m.

Senate Socrates Check In & Registration
River House

8:00 p.m. - 9:30 p.m.

Senate Socrates Opening Dinner
"Political Civility and Working in Washington"
A conversation with **Dan Glickman**, Aspen Institute
Congressional Program Executive Director, and **Bill Hoagland**,
Bipartisan Policy Center Senior Vice President
Moderated by **Elliot Gerson**, Aspen Institute Executive Vice
President
River House Dining Room

Saturday, October 28

7:45 a.m. - 9:00 a.m.

Breakfast
River House Dining Room

9:00 a.m. - 12:00 p.m.

Seminar Session I: Foundations in Discourse, Teamwork and Civility
Seminar discussion based on the following texts:

- "Classical Rhetoric, Contemporary Science and Modern Civil Discourse," Research Brief No. 4, National Institute for Civil Discourse
- Dave Winsborough and Tomas Chamorro-Premuzic, "Great Teams are About Personalities, Not Just Skills," *Harvard Business Review*, January 25, 2017

Michael Gerson, "America is Hacking Away at Its Own Democratic Limb," *The Washington Post*, June 29, 2017

- Jack Leslie, "What Three Nobel Laureates Can Teach Us About Civility in America," *The Huffington Post*, August 7, 2017

2:30 p.m. – 2:45 p.m.

Afternoon Break
River House Break Room

4:30 p.m. – 6:30 p.m.

Historical Walking Tour of Wye

The Wye campus is a site imbued with historical moments in American political discourse and policy decisions – from early days as the home of William Paca, a signer of the Declaration of Independence and the third governor of Maryland, to more recent moments as the site for negotiations between Israel and the Palestine Authority resulting in the Wye River Memorandum. This historical tour will connect the history and discussions of the seminar to place and embody the rich history of American discourse and governing in Washington and beyond.

Wye Campus

7:30 p.m. – 9:30 p.m.

Dinner and Evening Program

"The Future of Politics: Well-Informed, Bipartisan Commitment to Build the 'Good Society'"

A conversation with **Bill Budinger**, founder of Rodel, Inc., and **Mickey Edwards**, former U.S. Congressman

Moderated by **Cordell Carter**, Socrates Program Director

River House Dining Room

Sunday, October 29

7:45 a.m. – 9:00 a.m.

Breakfast
River House Dining Room

9:00 a.m. – 12:00 p.m.

Seminar Session III: Political Civility in the Age of Social Media

Seminar discussion based on the following texts:

- Amy Gutmann, "Key Note Address – Can We Talk? A Conversation about Civility and Democracy in America," University of Pennsylvania Office of the President, March 26, 2011
- Alan Greenblatt, "The Public Respects Civility, But Rewards Rudeness," *NPR*, January 26, 2012
- Jonathan Rauch, "Rescuing Compromise," *National Affairs*, 2013

and George Mitchell to develop and promote bipartisan solutions to the country's problems and to promote civility in government.

Glickman served as Chairman of the Motion Picture Association of America, Inc. (MPAA) from 2004 until 2010.

Prior to joining the MPAA, he was the Director of the Institute of Politics at Harvard University's John F. Kennedy School of Government (2002-2004).

Before his election to Congress in 1976, Glickman served as president of the Wichita School Board; was a partner in the law firm of Sargent, Klenda and Glickman; and worked as a trial attorney at the U.S. Securities and Exchange Commission. He received his Bachelor of Arts in history from the University of Michigan and his J.D. from The George Washington University. He is a member of the Kansas and District of Columbia Bars.

Glickman is also on the board of directors of the Chicago Mercantile Exchange; Communities in Schools; Food Research and Action Center, a domestic anti-hunger organization; National 4-H Council; and the Center for U.S. Global Engagement, where he is Chair of the U.S. Global Leadership Coalition. He co-chairs an initiative of eight foundations, administered by the Meridian Institute, to look at long-term implications of food and agricultural policy. He chairs an initiative at the Institute of Medicine on accelerating progress on childhood obesity. He is a member of the Council on Foreign Relations, the Academy of Motion Picture Arts and Sciences and a senior fellow of the Center on Communication Leadership and Policy at the USC Annenberg School for Communication & Journalism, the Council on American Politics at The Graduate School of Political Management at The George Washington University, and is Vice-Chair of the World Food Program-USA. He is the co-chair of the Chicago Council on Global Affairs' global agricultural development initiative. He is the author of "Farm Futures," in *Foreign Affairs* (May/June 2009).

Bill Hoagland



G. William Hoagland is a BPC senior vice president. In this capacity, he helps direct and manage fiscal, health, and economic policy analyses.

Before joining BPC in September 2012, Hoagland served as vice president of public policy for CIGNA Corporation, working with business leaders, trade associations, business coalitions, and interest groups to develop CIGNA policy on health care reform issues at both the federal and state levels.

Prior to joining CIGNA, Hoagland completed 33 years of federal government service, including 25 years on the U.S. Senate staff. From 2003 to 2007, he served as the director of budget and appropriations in the office of Senate Majority Leader Bill Frist. He assisted in evaluating the fiscal impact of major legislation and helped to coordinate budget policy for the Senate leadership.

From 1982 to 2003, Hoagland served as a staff member and director of the Senate Budget Committee, reporting to U.S. Sen. Pete V. Domenici, chairman and ranking member of the

committee during this period. He participated in major federal budget negotiations, including the 1985 Gramm-Rudman-Hollings Budget Deficit Reduction Act, the 1990 Omnibus Budget Reconciliation Act, and the historic 1997 Balanced Budget Agreement.

In 1981, he served as the administrator of the Department of Agriculture's Food and Nutrition Service and as a special assistant to the Secretary of Agriculture. He was one of the first employees of the Congressional Budget Office in 1975, working with its first director, Alice Rivlin.

In both 1997 and 2005, *National Journal* listed him as one of the "Washington 100 Decision Makers" and referred to him as a "bottom-liner who is not a hard-liner." *Roll Call* consistently named Hoagland as one of the top 50 Hill staffers. In 2002, he received the James L. Blum Award for Distinguished Service in Budgeting. The National Association of State Budget Officers honored him in 2004 with its Leadership in Budgeting Award, and in 2006 he was inducted as a fellow in the National Academy of Public Administration.

Hoagland is an affiliate professor of public policy at the George Mason University and a board member of the Committee for a Responsible Federal Budget, the National Academy of Social Insurance, and the National Advisory Committee to the Workplace Flexibility 2010 Commission. In 2009, he was appointed to the Peterson-Pew Commission on Budget Reform examining the overall structure of the budget, authorization, and appropriations process, and was a member of BPC's Debt Reduction Task Force that published *Restoring America's Future* in November 2010. He coordinated BPC's 2013 report, *A Bipartisan Rx for Patient-Centered Care and System-Wide Cost Containment*. In April 2015, he co-chaired the National Academy of Social Insurance report, "Addressing Pricing Power in Health Care Markets."

Hoagland attended the U.S. Merchant Marine Academy and holds degrees from Purdue University and The Pennsylvania State University. His family's Indiana family farm was recognized as a "Hoosier Homestead" for having remained in the family for over a century.


THE ASPEN INSTITUTE

August 22, 2017

Cordell Carter, II

Executive Director

Socrates Program

Mr. Bill Sweeney
Staff Director, Democratic Policy and Communications Committee
U.S. Senate
Washington, DC 20515

Dear Mr. Sweeney:

I would like to invite you to participate in a seminar designed exclusively for the education benefit of a bipartisan, bicameral group of senior congressional staff focusing on Political Civility and the Role of Congress to be held at the Aspen Institute Campus located in Wye River, Maryland, October 27-29, 2017.

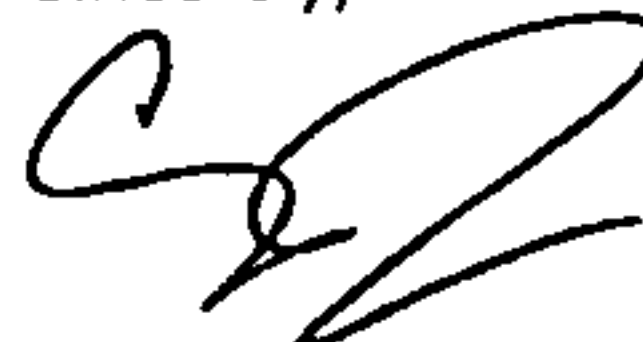
We have assembled two outstanding moderators to lead the discussions; The Honorable Dan Glickman, Executive Director of the Aspen Institute Congressional Program, and Bill Hoagland, Senior Vice President of the Bipartisan Policy Center.

This seminar is primarily designed for senior congressional staffers, by invitation only. Nonetheless, in the interest of creating the best possible mix of views and perspectives we do accept a limited number of vetted non-congressional scholars, but no outside observers, press, or lobbyist will participate. We will provide you with a signed Private Sponsor Travel Certification Form, and other required documentation, for filing with the House/Senate Ethics Committee. Our purpose is education, not advocacy.

Participants should arrive in Wye by 5:00 p.m. on Friday, October 27th and the program will end at 2:00 p.m. Sunday October 29th. Should you accept the invitation, it is required that you participate through the duration of the seminar. Your lodging and meals will be paid by the Aspen Institute Socrates Program. Under the ethics rules, no expenses are covered for entertainment or recreation.

Enclosed is a one pager on the Socrates Program. We hope you will be able to participate in the extended educational offering of this series. If you have any questions, please feel free to call Katie Bauman at 202-721-5589. I hope you will be able to join us for this exciting seminar.

Sincerely,



Cordell Carter, II


One Dupont Circle, NW

Suite 700

Washington, DC 20036-1133

PH 202.736.5589

socrates@aspeninstitute.org

www.aspeninstitute.org